

High Net Worth Certificate

STATEMENT FOR CERTIFIED HIGH NET WORTH INDIVIDUAL

I declare that I am a certified high net worth individual for the purposes of the Financial Services and Markets Act 2000 (Financial Promotion) Order 2005.

I understand that this means:

- (a) **I can receive financial promotions that may not have been approved by the Financial Conduct Authority;**
- (b) **the content of such financial promotions may not conform to rules issued by the Financial Conduct Authority;**
- (c) by signing this statement I may lose significant rights;
- (d) **I may have no right to complain to either of the following: (i) the Financial Conduct Authority; or (ii) the Financial Ombudsman Scheme;**
- (e) **I may have no right to seek compensation from the Financial Services Compensation Scheme;**

I am a certified high net worth individual because **at least one of the following applies:**

- (f) **I had, during the financial year immediately preceding the date below, an annual income to the value of £100,000 or more;**
- (g) **I held, throughout the financial year immediately preceding the date below, net assets to the value of £250,000 or more. Net assets for these purposes do not include: (i) the property which is my primary residence or any loan secured on that residence; (ii) any rights of mine under a qualifying contract of insurance within the meaning of the Financial Services and Markets Act 2000 (Regulated Activities) Order 2001; or**
- (h) **any benefits (in the form of pensions or otherwise) which are payable on the termination of my service or on my death or retirement and to which I am (or my dependants are), or may be, entitled.**

I accept that I can lose my property and other assets from making investment decisions based on financial promotions.

I am aware that it is open to me to seek advice from someone who specialises in advising on investments.

Signature

Print

Date